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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of 2

Sheet 1

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Application Number	09/980,756				
Filing Date	July 9, 2002				
First Named Inventor	Michael Krause et al				
Art Unit	2664				
Examiner Name	Unknown				
Attorney Docket Number	10003627-2	フ			

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (F trooper)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
20		^{US-} 6,205,150	3/2001	Ruszczyk	
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		FOREI	GN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁸ Applicant is to place a check mark here if English language Translation is attached.

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INF	ORMATION	DIS	CLOSURE	Filing Date	July 9, 2002	
STA	ATEMENT E	BY A	PPLICANT	First Named Inventor	Michael Krause et al	
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Sheet	2	of	2	Attorney Docket Number	10003627-2	

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No. ¹	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
TP		Rosenberg, Jerry M., "Dictionary of Computers, Information Processing, and Telecommunications," 2nd Edition, pp. 149, 150, and 155, (August 1987).	✓

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Michael Krause et al

09/980,756

July 9, 2002

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Filing Date

Art Unit

Application Number

First Named Inventor

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Examiner Name Unknown Attorney Docket Number 10003627-2 Sheet 1

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f Innom)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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つり		^{US-} 6,119,163	9/2000	Monteiro et al.	
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der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 09/980,756 INFORMATION DISCLOSURE Filing Date July 9, 2002 STATEMENT BY APPLICANT First Named Inventor Michael Krause et al Art Unit 2664 (Use as many sheets as necessary) **Examiner Name** Unknown **Attorney Docket Number** Sheet 12 13 10003627-2 of

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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TP		Cheriton, David R. et al., "Host Groups: A Multicast Extension for Datagram Internetworks," ACM, pp. 172-179 (1985).	
d د		Crowcroft, J. et al., "A Multicast Transport Protocol," ACM Press, pp. 247-256 (1988).	
כן כי		Diot, Christophe, "Reliability in Multicast Services and Protocols; A Survey," International Conference on Local and Metropolitan Communication Systems, 19 pages (Dec. 1994).	
"MP	-	Fenner, W., "Internet Group Management Protocol, Ver. 2," Network Working Group, Request for Comments: 2236, pp.1-18 (November 1997). http://www.faqs.org/rfcs/rfc2236.html	
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TP		Mockapetris, Paul V., "Analysis of Reliable Multicast Algorithms for Local Networks," ACM, pp. 150-157 (1983).	

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Substitu	te for form 1449/PTO				Complete if Known	
300300				Application Number	09/980,756	
INF	ORMATION	DIS	CLOSURE	Filing Date	July 9, 2002	
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	Michael Krause et al	
				Art Unit	2664	
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Sheet	3	of	3	Attorney Docket Number	10003627-2	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
7P		Paul, Sanjoy et al., "Reliable Multicast Transport Protocol (RMTP)," IEEE Journal on Selected Areas in Communications, Vol. 15, No. 3, pp. 407-421 (April 1997).	

	Examiner Signature	P	Date Considered	11/2/05
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Reduction Act of 1995, no persons are required to respond to a collection of in ormation unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 09/980,756 Filing Date November 26, 2001 INFORMATION DISCLOSURE First Named Inventor Krause et al. STATEMENT BY APPLICANT Art Unit 2664 (Use as many sheets as necessary) **Examiner Name** Unknown Attorney Docket Number 10003627-2 Sheet

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (F known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
70		^{US-} 6,738,821	05-18-2004	Wilson et al.	
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PATENT APPLICATION

Sheet 1 of 2

C CORM PTO-1449	ATTY. DOCKET NO. 10003627-2	APPLICATION NO. 09/980,756	CONFIRMATION 4251	NO.
LIST OF PATENTS AND PUBLICATIONS FOR ABPLICANT'S INFORMATION DISCLOSURE	APPLICANT			
APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Michael R. Krause e	et al.		
STATEMENT	FILING DATE	GROUP		
(Use several sheets if necessary)	Nov. 26, 2001			

REFERENCE DESIGNATION

Rev 10/03 (PTO1449)

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EXAMINE INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
30	1A	4,713,806	12/1987	Oberlander et al.	RECEIVED
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70	1C	5,535,341	7/1996	Shah et al.	120122004
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7D	1G	5,968,176	10/1999	Nessett et al.	
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	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
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de	1Q	IBM Corp. "Direct Memory Access Tag Arch No. 4B, pp. 143-151 (September 1989).	nitecture," IBM Technical Disclosure Bulletin, Vol. 32,
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LEST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	APPLICANT Michael R. Krause et al.			
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EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
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